

Comparison of the epidemiology, profile of mutations, and clinical response to antiretrovirals among subtypes B and F of the human immunodeficiency virus type 1

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The authors compared demographic aspects and profile of mutations in 80 patients with subtypes B and F of human immunodeficiency type 1 (HIV-1). Genotyping of the pol region of the reverse transcriptase was performed using the ViroSeqTM Genotyping System. A total of 61 (76.2%) patients had subtype B and 19 (23.8%) subtype F of the HIV-1. Subtype F tended to be more frequent in heterosexuals and women with a low educational level, but without statistical significance. The frequency of mutations related to nucleoside reverse transcriptase inhibitors and protease inhibitors (PI) was the same in the two subtypes, but mutations related to PI at the codons 63, 77, and 71 were more frequent in subtype B, while mutations at the codons 36 and 20 predominated in subtype F. Sixty-two of the 80 patients infected with subtypes B and F were submitted to antiretroviral therapy for an average of 18-22 months. Undetectable viral loads at the end of follow-up were similar in the two groups, representing 63.8% of subtype B and 73.3% of subtype F ($p = 0.715$). CD4 lymphocyte counts before and after treatment were similar in the two groups. This study, despite pointing to possible epidemiological and genetic differences among subtypes B and F of HIV-1, suggests that the use of highly active antiretroviral therapy is equally effective against these subtypes.

Key words: human immunodeficiency virus type 1 - subtypes - genotyping - antiretroviral therapy

Human immunodeficiency virus type 1 (HIV-1) subtypes B and F are the most prevalent in South American countries (Masciotra et al. 2000, Avilla et al. 2002, Brindeiro et al. 2003, Montano et al. 2005, Rios et al. 2005, Geretti 2006). Brazil has the largest population and the majority of cases of HIV/AIDS (a total of 433,067 cases) in the region (Brazilian Ministry of Health 2006) and subtype B is the most predominant (Brindeiro et al. 2003). However, probably due to its huge size and large population, HIV epidemics vary in nature and complexity. In the Northeast (where the state of Pernambuco is located), the North, the Center-west, and the Southeast Regions of Brazil, the prevalence of subtype B ranges from 70 to 96%. Subtype F occurs in 4.9 to 12% of the patients and the prevalence of the recombinant forms, mainly B/F, ranges from 0.2 to 14.4% (Brindeiro et al. 2003, Cerqueira et al. 2004, Couto-Fernandes et al. 2005, Rodrigues et al. 2005, Barreto et al. 2006). In these regions the presence of subtype C is insignificant. However, in the South Region the profile

of subtypes is different: there is an increasing frequency of subtype C, detected after 1990, which ranges from 29.8 to 44.9% and a high prevalence of mosaics (22%) (Brindeiro et al. 2003, Soares et al. 2003). In the state of Rio Grande do Sul (in the South Region), the frequency of subtype C (44.9%) is now higher than that of subtype B, which occurred in just 29.9% of HIV cases (Brindeiro et al. 2003).

There are several unresolved questions regarding the differences between subtypes B and F of HIV-1: whether they differ regarding pathogenicity, biological properties, epidemiological features, transmissibility, and mutations related to antiretroviral resistance (Essex et al. 1997, Thompson et al. 2002, Apetrei et al. 2004, Pires et al. 2004, Kantor et al. 2005, Pinto & Struchiner 2006). Most importantly, there are doubts as to whether the efficacy of antiretroviral agents is similar for the B and non-B subtypes (Acceturi et al. 2000, Frater 2002, Atlas et al. 2005, Bocket et al. 2005, Geretti 2006), given the lack of data due to the restricted access to antiretrovirals (ARV) in different parts of the world where non-B subtypes are more prevalent. The answer to this question will certainly have major clinical implications for therapeutic strategies in South American countries.

We therefore conducted this study in order to assess the prevalence of subtypes of HIV-1 and to evaluate differences in the epidemiology, profile of mutations, and clinical response to antiretrovirals among patients infected with subtypes B and F in attendance at a reference center for HIV/AIDS treatment in the state of Pernambuco.

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PATIENTS AND METHODS

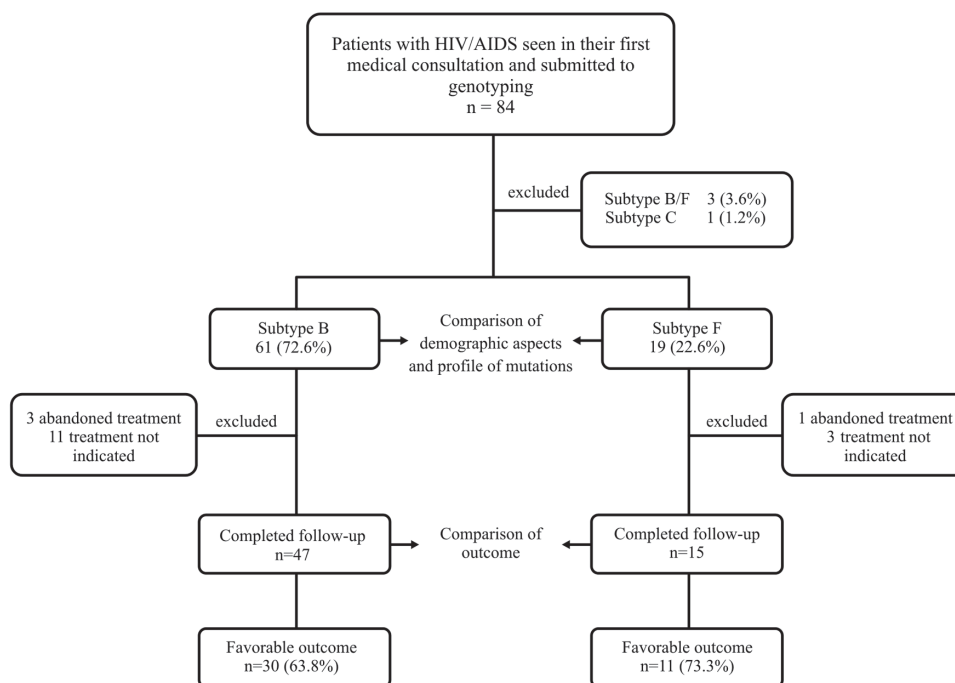
Study population - A total of 84 drug-naïve individuals infected by HIV-1 consecutively seen at their first medical consultation at the Federal University of Pernambuco Hospital in Recife, Brazil, in the year 2002 were invited to participate in the study. Those who agreed to participate signed a consent form, answered a questionnaire, and were submitted to the genotyping assay. Those patients who fulfilled the criteria of indication for antiretroviral treatment based on the 2002 Consensus in Antiretroviral Therapy for Adults of the Health Ministry (Brazilian Ministry of Health 2002) i.e. CD4 lymphocyte count below 350 cells/mm³, symptoms or opportunistic diseases related to AIDS, were given antiretroviral treatment funded by the Brazilian government. All patients received highly active antiretroviral therapy (HAART) (containing at least three antiretrovirals): the schemas contained 2 nucleoside reverse transcriptase inhibitors (NRTI) + 1 non-nucleoside transcriptase inhibitor (NNRTI) or 2 NRTI + 1 protease inhibitor (PI). The selection of the antiretroviral was aleatory and determined in consultation with his or her clinician, who did not know the patient's viral subtype. The patients were followed up prospectively and submitted to CD4 lymphocyte counts (measured by flow cytometry) and viral load measurements (measured by the nucleic acid based amplification assay) every 4-6 months. All the 80 patients with subtypes B and F of the HIV-1 were included in the analysis of the demographic aspects and profile of mutations; however, for the analysis of the therapeutic response, those that did not begin

antiretroviral treatment, those who were followed up for less than 6 months, and those who abandoned the treatment were excluded, leaving a total of 62 individuals (Figure).

Outcome - The maintenance of an undetectable viral load at the end of the period of observation was considered a favorable outcome and an unfavorable outcome was defined as a viral load greater than 400 copies/ml, (i.e. above the level of detection) at the end of the follow-up or the occurrence of death during the treatment.

Drug resistance genotyping - The ViroSeqTMHIV-1 Genotyping System (Celera Diagnostic, Abbott Laboratories, US) was used to identify the resistance-associated mutations in the HIV-1 polymerase (pol) gene. The process comprises the isolation and purification of plasma viral RNAs by ultra centrifugation (21,000 g x for 120 mn-sample preparation module), followed by cDNA synthesis and genomic amplification by polymerase chain reaction assay (PCR) of the HIV-1 pol fragment (reverse transcriptase RT-PCR and PCR module), spanning the entire protease (PR) gene and approximately two thirds of the RT gene. A 1.8 Kb amplicon fragment was subsequently used as a sequencing template to generate approximately 1.2 Kb of HIV-1 sequence data.

The amplified PCR products were sequenced using seven primers included in the kit, formulated with the BigDye Terminator sequencing chemistry (Sequencing Module – Big Dye v.2.0). The sequencing products were analyzed on an ABI Prism ABI 3100 Genetic Analyzer (Applied Biosystems, US) coupled to the DNA sequencing analysis software.



Flow chart of the patients with human immunodeficiency virus or acquired immunodeficiency syndrome submitted to genotyping assay and treatment.

The ViroSeq™ HIV-1 Genotyping System consists of a software that automatically imports the sequence data from the sequence analysis software and assembles seven or six sequences segments into a single sequence, which is then compared to the HXB2 reference strain (Kuiken et al 2003). After the edition and establishment of the consensus sequence, the mutation analyses software (ViroSeq™ software v.2.6) generates the resistance mutation profiles for the different ARV drugs.

HIV-1 subtyping - For the determination of the genetic subtypes of the HIV-1 all sequences were analyzed using the Stanford Sequence Resistance Database (<http://hivdb.stanford.edu>). Mutation resistance profiles were classified according to the International AIDS Society consensus (D'Aquila et al. 2002).

Ethics - The study was approved by the Ethics Commission of the University's Health Sciences Center (Protocol Number 158/2001-CEP/CCS).

Statistical analysis - Differences in proportions of social and biological features, viral load, frequency of mutations and outcome of patients with subtypes B and F were evaluated using the chi square test, Yates corrected chi square, and the Fisher Exact test for expected sizes of five or less. The Student's t-test was used to compare the means of CD4 and the duration of follow-up. Statistical analysis was performed using EPI-INFO.

RESULTS

A total of 84 patients were evaluated, of whom 61 (72.6%) were infected with HIV-1 subtype B, 19 (22.6%) subtype F, 3 (3.6%) subtypes B/F, and 1 (1.2%) with subtype C. The 80 patients that were infected with subtypes B and F of HIV-1 were analyzed for demographic characteristics, frequency, and profile of mutations. Females accounted for 52.6% of the patients with subtype F, while only 31.1% of the subtype B patients were females, although the difference was not statistically sig-

TABLE I
Demographic, biological, risk behavior, and profile of mutations of 80 patients infected with the subtypes B and F of human immunodeficiency virus type 1

	B		F		p-value
	N	%	N	%	
Sex					
Male	42	69.8	9	47.4	0.153 ^a
Female	19	31.1	10	52.6	
Schooling					
Less than 8 years schooling	36	59	16	84.2	0.082 ^b
More than 8 years schooling	25	41	3	15.8	
Sexual risk behavior					
Heterosexual	34	55.7	15	78.9	0.122 ^a
Men who have sex with men	27	44.3	4	11.1	
IV drug use					
Yes	2	3.3	0	0	0.996 ^a
No	59	96.7	19	100	
Mutations					
Patients with mutations related to nucleoside reverse transcriptase inhibitors ^c	4	6.5	4	21	0.161 ^a
M41L	1	1.6	1	5.3	-
K219E	3	4.9	1	5.3	-
E44D	-	-	1	5.3	-
V118I	-	-	1	5.3	-
Patients with mutations related to protease inhibitors ^c	45	73.8	18	94.7	0.103 ^b
L63P	36	59	3	15.8	0.000 ^a
V77I	12	19.7	-	-	-
A71V/T	8	13.1	-	-	-
M36I	8	13.1	18	94.7	0.000 ^a
L10I/V	7	11.5	9	31.6	0.085 ^a
K20R	1	1.6	8	42.1	0.000 ^b
I54P	1	1.6	-	-	-
Total of patients	61	100	19	100	

a: χ^2 for percentage; b: χ^2 Yates corrected; c: sequences containing more than one mutation related to the same class of antiretroviral was scored as one.

nificant ($p = 0.153$). The most frequent means of transmission was sexual, particularly heterosexual practice. Seventy nine (78.9%) of the patients with subtype F were infected by heterosexual transmission, against 55.7% of the subtype B patients, but again this difference was not significant ($p = 0.122$). Patients infected with subtype F tended to have less schooling than patients with subtype B ($p = 0.082$) (Table I).

Mutations related to NRTI and to PI were more frequent in the patients infected with subtype F, albeit without statistical significance ($p = 0.161$ and 0.103 respectively). The frequency of mutations in each subtype is shown in Table I.

Of the 80 patients with subtypes B and F, 62 were evaluated regarding their response to treatment, 47 of whom infected with subtype B and 15 with subtype F. Of the remaining 18, 14 did not have an indication for antiviral therapy and 4 abandoned the treatment, being therefore excluded from the analysis of outcome (Figure). Table II summarizes the virologic and immunologic features of the patients before starting antiretroviral treatment and at the end of follow-up. Before starting treatment most patients in both groups presented a viral load

above 100,000 copies/ml; the patients infected with subtype B had a mean CD4 lymphocyte count of 131.5 cells/mm³ and those infected with subtype F, one of 164.8 cells/mm³. At the end of follow-up there was a similar increase in CD4 lymphocytes in the two groups, and the frequency with which they achieved an undetectable viral load was also similar. The duration of follow-up was 20.2 months in subtype B and 22.8 months in subtype F. The proportion of patients using regimens of HAART containing NNRTI or with PI was similar in the two groups.

A favorable outcome, in other words, an undetectable viral load (< 400 copies/ml) occurred in 30 (63.8%) patients with subtype B and in 11 (73.3%) patients with subtype F, with no significant differences between the groups. Five (10.6%) patients died in the subtype B group and none in the group of patients with the subtype F of HIV-1 during the study (Table II).

Association of outcome with biological and virological characteristics (including subtype B or F of the HIV-1) and the treatment used did not show any significant differences between those with a favorable outcome and those with an unfavorable one, although the use of 2

TABLE II
Virological and immunological features of 62 patients with subtypes B and F of human immunodeficiency virus that completed treatment

Virological and immunological features	Subtypes				Total		p-value
	B		F		N	%	
	N	%	N	%	N	%	
Pre-treatment viral load ^a							
< 100,000 copies/ml	11	29	5	45.5	16	22.4	0.507 ^c
≥ 100,000 copies/ml	27	71	6	54.5	33	77.6	
Total	38	100	11	100	49	100	-
End of treatment viral load ^b							
Undetectable	30	71.4	11	73.4	41	72	0.759 ^c
Detectable	12	28.6	4	26.6	16	28	
Total	42	100	15	100	57	100	-
Number of CD4 (cells/mm ³)	Mean ± SD		Mean ± SD		Mean ± SD		
Basal CD4	131.5 ± 105.2		164.8 ± 130.9		139.7 ± 111.7		0.354 ^d
Final CD4	422.9 ± 238.7		464.8 ± 131.6		433.7 ± 215.8		0.536 ^d
Scheme of treatment							
2NRTI + NNRTI	29	61.7	11	73.3	40	64.5	0.610 ^c
2NRTI + PI	18	38.3	4	26.7	22	35.5	
Outcome							
Unfavorable	17	36.2	4	100	21	33.8	0.715 ^c
Viral load > 400 copies/ml	12	70.6	4	100	16	76.2	
Death	5	29.4	0	0	5	23.8	
Favorable	30	63.8	11	73.3	41	66.2	
Viral load < 400 copies/ml							
Duration of treatment (months)	20.2 ± 10.2		22.8 ± 8.4		20.8 ± 9.8		0.370 ^d
Total	47	100	15	100	62	100	

a: subtype B, information missing in 9 patients; subtype F, information missing in four patients; b: subtype B, information missing in five patients; c: chi-square test; d: t-student test.

NRTI+PI produced an unfavorable outcome in half the patients against 26.1% of unfavorable outcomes in those using 2 NRTI+NNRTI, but this did not attain statistical significance (Table III).

DISCUSSION

Subtypes B and F of HIV-1 accounted for 95.2% of the samples, a similar distribution to the other countries in the region (Avilla et al. 2002, Brindeiro et al. 2003, Montano et al. 2005, Rios et al. 2005). A limitation of this study was the methodology used for the sequence analysis of viral diversity, which would be better done using a bootscanning program, such as the Simplot program. However, if it is assumed that the frequency of recombinant forms B/F or mosaics has been underestimated in the study, it is likely that the same proportion of them will have been missed in the two groups, which will not have compromised the results.

While not achieving statistical significance, some aspects already described in South America seem to occur in the population of the present study: a higher frequency of subtype F among women, in the heterosexual population and those with less schooling, as compared

with the greater prevalence of subtype B in men-who-have-sex-with-men and those with more schooling (Masciotra et al. 2000, Avilla et al. 2002, Montano et al. 2005, Rios et al. 2005). In our study the difference was not so apparent, but certainly a large number of patients will show the same tendency. Some have argued that subtype F spreads more easily through heterosexual transmission (Masciotra et al. 2000, Avilla et al. 2002, Montano et al. 2005, Rios et al. 2005), using the argument that the susceptibility of Lagerhans' cells to infection by subtype B seems to be substantially lower when compared with the non-B subtypes (for example A, C, and E subtypes) (Soto-Ramirez et al. 1996, Essex et al. 1997). However it has recently been proved that Langerhans' cells are just as susceptible to subtype B as other non-B subtypes of HIV-1 (Solis et al. 2006). A recent phylogenetic study of subtypes B and F in Brazil offers another possible explanation for this difference: it could possibly result from the restricted circulation of subtypes among groups with similar risk behavior, but with little contact between the groups in question (Bello et al. 2006). The authors suggested that each subtype epidemic was the result of the original introduction, at dif-

TABLE III

Relation of outcomes of the antiretroviral treatment and the social, biological, virological, and immunological features of 62 patients

Socio-demographic features	Outcome				χ^2	p-value
	Favorable		Unfavorable			
	N	%	N	%		
Sex						
Male	26	63.4	16	76.2	0.54	0.464
Female	15	36.6	5	23.8		
Sexual risk behavior						
Heterosexual	26	63.4	11	52.4	0.03	0.861
MSM	15	36.6	10	47.6		
Intravenous drug user						
Yes	2	4.9	0	0	0.07	0.544
No	39	95.1	21	100		
Subtype						
B	30	73.1	17	80.9	0.07	0.792
F	11	26.9	4	19.1		
Pre-treatment viral load ^a (copies/ml)						
< 100,000	12	36.3	4	25	0.22	0.637
> 100,000	21	63.7	12	75		
Scheme of treatment						
2 NRTI + NNRTI	31	75.6	11	52.4	2.45	0.117
2 NRTI + PI	10	24.4	10	47.6		
Basal CD4 ^b (cells/mm ³)						
< 100	16	45.7	9	50	0.08	0.776
≥ 100	19	54.3	9	50		
Mean basal CD4 ± SD (cells/mm ³)	142.1 ± 113.9	-	133.2 ± 109.1	-	0.071	0.790
Final CD4 + SD (cells/mm ³)	454.4 ± 256.8	-	377.1 ± 151.7	-	1.100	0.299
Duration of follow-up (months)	22.2 ± 9.4	-	18.1 ± 10.3	-	2.395	0.126

^a: 13 patients without basal viral load available; ^b: 9 patients without basal CD4 values available; MSM: men-who-have-sex-with-men; NRTI: nucleoside reverse transcriptase inhibitor; NNRTI: non-nucleoside reverse transcriptase inhibitor; PI: protease inhibitor.

ferent times, of a small number of viral strains into the Brazilian population, subtype B having been introduced in the early 1970s and, more recently, subtype F in the early 1980s. This might have resulted in a lower prevalence of this subtype and a possible association between infection of female sex and heterosexuals, target groups infected later in the Brazilian epidemic (Brito et al. 2005, Bello et al. 2006).

It is already known that major resistance mutations are not common in non-B subtypes from drug-naïve patients, although minor mutations are frequent (Apetrei 2004, Kantor et al. 2005). Some of these polymorphisms are consensus sequences in certain subtypes, and several act as secondary resistance mutations in subtype B (Tanuri et al. 1999, Kantor et al. 2005). Some of the secondary protease mutations have been associated with reduced susceptibility to protease inhibitors *in vitro* and may also modulate viral fitness and influence the genetic barrier, facilitating the emergence of primary resistance mutations (Accetturi et al. 2000, Frater et al. 2001, Geretti 2006). However, a recent study using a phenotypic resistance assay did not show any association between the occurrence of the polymorphisms in non-B subtypes and the occurrence of resistance to antiretrovirals: the authors analysed 58 plasma-samples from drug-naïve patients with non-B subtypes and showed that two of them had reduced susceptibility to PI in the phenotypic resistance assay and also had mutations in codons K20I, M36I, L63P, and V82I. Curiously they demonstrated that several other viruses displayed the same constellation of mutations but did not show any reduction in susceptibility, suggesting that these polymorphisms *per se* do not affect the susceptibility of non-B subtypes to PI (Holquin et al. 2006). The present study did not show differences in the frequency of mutations between the two subtypes, but detected mutations related to PI which were significantly more frequent in subtype B, such as the mutations in the codons 63, 77, and 71, whereas others predominated in subtype F, such as the mutations in the codons 36 and 20. This difference did not result in a worse treatment outcome in the patients with the subtypes B or F in the present study, so it does not seem to be clinically relevant. One must however stress that the small number of patients who received PI in the two subtypes certainly restricted the analysis of the differences in outcome in this antiretroviral group.

The present study is in accordance with other studies (Frater et al. 2002, Atlas et al. 2005, Geretti 2006), particularly a recent French cohort which included 416 patients starting first-line HAART with PI or NNRTI. Virological responses over 12 and 24 months were similar in the 317 patients with subtype B compared to 99 patients with the non-B subtypes (Bockect et al. 2005). On the contrary, a Brazilian study which compared the outcomes of treatment in patients with subtypes B and F showed that the treatment produced worse results in the patients with subtype F after 48 weeks of therapy (Accetturi et al. 2000). It should be borne in mind that the present study followed up patients for up to 80 weeks and did not detect any such differences. The proportion of patients that achieved an undetectable viral load at the

end of the period was similar in the two subtypes. The average CD4 count did not show any significant differences in the two groups, either. No association could be found between treatment outcome and subtypes B or F of HIV-1. Finally, the higher number of deaths in the subtype B group, although not significantly different from the subtype F group, reinforces the conclusion that the F subtype of HIV-1 is no less susceptible to HAART. However, evaluation of a greater number of individuals for each subtype are required to demonstrate this conclusively.

In conclusion, the results of this study, in spite of pointing to possible epidemiological and genetic differences among subtypes B and F of HIV-1, suggest that the use of HAART, despite having been developed and tested in countries where subtype B predominates, is equally effective against subtype F.

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